The format of the exam will be short answer fill-in-the-blank.

Chapter 5: Officers of the Court – Ethical Responsibilities of a Lawyer
1. A lawyer’s competence can be measured by several things. What are two of them?
2. What is one of the things required under the expectation that lawyers will show due diligence?
3. What are two situations in which a lawyer will not be required to maintain confidentiality?
4. What are three circumstances in which a conflict of interest might arise for a lawyer?
5. What two things must a defendant show in order to successfully argue they did not have effective representation from their lawyer?
6. What are three examples of things that may be publicly communicated about a case?
7. What are at least two things that should be included in a written contingency fee arrangement?

Chapter 6: Prosecutors and Judges – Ethical Responsibilities of Public Servants
8. What are two of the ethical duties of judges?
9. What are two situations in which an attorney’s ex parte communication with a judge is acceptable?
10. What are two examples of limits placed on the free speech rights of judges?
11. What are two factors that are considered in determining if a judge or his/her family may receive an item of value?
12. What is the “anti-contact rule” regarding prosecutors?
13. What obligation does a prosecutor have to reveal exculpatory evidence to the defense?

Chapter 7: Correctional Institutions – Ethics in Prisons and Jails
14. What is one issue with locating prisons in rural areas and one issue with locating them in urban areas?
15. What are two good traits in correctional volunteers?
16. What are three types of power that give correctional officers authority over inmates?
17. What are three items in the correctional officer code?
18. What are three ways in which the officer subculture influences new officers?
19. What are three characteristics of officers that make them more likely to be targets of offender manipulation?

Chapter 8: Ethics in Probation, Parole, and Community Corrections
20. What are two duties of probation officers?
21. What are three of the four types of probation officers?
22. What are the two types of corrupt probation officers?

Chapter 9: Ethics in Security and Loss Prevention
23. What are two reasons why there has been growth in the private security industry?
24. How do the types of laws that govern private security differ from those that govern public police?
25. What is one of the reasons why a loss prevention officer might make a bad stop?
26. What is a limitation of the “shopkeeper’s privilege?”
27. What is a reason why a store might have a no-chase policy for its security agents?
28. What are the three most serious forms of ethical misconduct by private security agents?